
LOCK AND KEY POLICY

Category: Facilities

Responsible Office: University Facilities

Responsible Executive: Executive Vice President for
University Support Services

Date Established: 1972

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This policy has not yet been vetted or formatted as part of the policy review project, however, all requirements of the policy are current and in force.

Summary

This policy is set forth by the University in an effort to maintain the integrity of the University buildings and their contents and to achieve maximum security while maintaining reasonable usability of University areas. University Facilities works to maintain strict control of the campus key and lock systems through various means outlined in this policy to support safety and accountability.

Policy

University Facilities Personnel, Environmental Health and Safety, and University Police will have unobstructed 24 hours a day, year round means of access in the event of an emergency condition.

It is the policy of the University, that other than during normal working hours, all buildings shall be locked to maintain the safety of the buildings and their content. Keys are issued for entry to University buildings for the purpose of conducting University business only.

University Facilities is responsible for providing building access on campus excluding University Residence Halls and Apartments. The primary access control to building (both exterior and interior) is provided by manual key system utilizing various University at Buffalo "restricted" keyways to prevent unauthorized key duplication. All campus door locks shall be keyed within this system. An acceptable secondary access may include electronic key systems (code or swipe card) could be authorized by University Facilities if necessary. Working with the building users, University Facilities will determine the keying and issue of all keys. No other sources for keys are authorized. All keys issued remain the property of The University at Buffalo.

When improved key control is desired, the University can install lock hardware on a unique key, commonly referred to as "off master". Off master keys in academic and administrative areas will be issued only after being approved by the Dean or Associate Vice President. If this option is selected, the department can request only they be given the key (thus cleaning activities will cease, making it the department's responsibility to clean). The department may authorize that a key be made available to the custodial staff.

Keys to vehicles, cabinets, lockers, and desks are not covered by this policy. University Facilities will attempt to furnish such keys upon request but the issuance and control of these keys are the responsibility of the individual University colleges/departments.

RESPONSIBILITY

University at Buffalo Campus Community

All lock and key work shall be done through University Facilities key shop. Unauthorized door locks are prohibited and when found will be removed and appropriate charges made to the responsible college/department.

University Facilities

Maintain the University's lock and key system, including codes, product standards and service equipment.
Maintain a computer-bases key management system at the Customer Service department.
Cut and issue keys with properly signed Lock and Key Request Authorization Forms.
Maintain computer database of all keys, locks, and associated building and room numbers that they operate. Maintain database of all master key holders.
Supply various reports to administrators and key coordinators such as which key opens what door under their control.
Audit high security keys as required.
Restore physical security in a timely manner whenever key control is compromised.

College and Departmental

Each Dean is responsible to develop and enforce a key return policy for their department.
Each department shall ensure accountability for all department keys.
Protect keys against loss, theft, or unauthorized use. Report lost or stolen keys immediately through the appropriate department head to Customer Service (71).
Authorized personnel will sign for and pick up completed key orders at University Facilities Customer Service.
Any re-keying expenses to correct deficiencies in security due to lost, stolen, misplaced, or unreturned keys will be the responsibility of the college or department.
College Deans or department directors will be required to authorize building master level keys within their areas of responsibility.

KEY HOLDERS

Key Holders- University Personnel and Students

The holder of a key to any University facility assumes the responsibility for the safekeeping of the key and its use. When leaving a campus area or building ensure that all doors are secured as they were upon arrival. Protect keys against loss, theft, or unauthorized use. Report lost or stolen keys immediately through the appropriate department head to Customer Service (71).
Prior to leaving the University all keys must be returned to the issuing department. Departments are responsible for having key return on their employee exit checklist.

Key Holders- Contractor, Consultants, Vendors, and other Non-University Personnel

Before keys are issued, the Customer Service department requires a current signed document on company letterhead with the employee's name and position. It must state they are authorized to check out keys on behalf of that company and that company is assuming financial responsibility for all re-keying that may be required to restore security due to keys not returned. The Project Manager will be responsible for issuance and return of keys.
The letter needs to be signed by an appropriate officer of the contractor's company. Loss of keys may require re-keying of one or more buildings and costs could be extensive.
The holder of a key to any University facility assumes responsibility for the safekeeping of the key(s) and its use. When leaving a campus area or building all doors must be secured as they

were upon arrival. Key(s) must not be loaned or made available to others. All lost or stolen keys must be reported immediately through the appropriate department head to Customer Service (71).

The company representative must present picture identification and personally sign for all keys. All keys must be returned to Customer Service at the completion of the project. Written confirmation from Customer Service is required before final payment is made by Project Manager.

Short term daily check-out of keys requires the contractor/vendor to follow the above steps. A copy of their driver's license will be retained until all keys are returned.

PROCEDURES

Requesting Keys

Determine what building/rooms access is required. Customer Service will determine the key required to provide such access.

Submit a Lock and Key Request form through your department with appropriate information, signature and account number.

The Customer Service department will make the final determination on the validity of information provided based on the level of key request.

Department Level Key Authorization

All key requests require a properly signed form submitted in advance of the date needed. Signed request forms may be mailed hand delivered or faxed to Customer Service and have a printed name as well as an approved signature.

The key will be fabricated when authorization is approved and the department will be notified when the order is ready for pick up at Customer Service.

Department level keys are to be picked up and signed for by the authorized personnel.

Authorized signatures are submitted to the Customer Service Department annually on the Key Control Authorization Form. Please contact Customer Services for any updates.

College Level Key Authorization

College Deans or department directors are required to authorize master and sub-master level keys within their areas of responsibility. All instructional, professional, and clerical space in the building must be controlled by the college/department requesting a master key.

The person authorized to carry the key must personally pick up and sign for the key at Customer Service. Keys will not be issued to a third party. Appropriate picture identification is also required.

Contractor, Consultants, Vendors, and other Non-University Personnel

Formal request is required- See Responsibility Section for details.

The Project Manager must verify the request.

The person authorized to carry the key must personally pick up and sign for the key at Customer Service. Keys will not be issued to a third party. Appropriate picture identification is also required.

Returning Keys

UF students, faculty and staff

Return all keys to the issuing entity (department, supervisor, etc.) before leaving school, discontinuing employment or transferring from your present position. If there is a question regarding the issuing entity, turn keys in to Customer Service. Do not turn keys over to anyone else. You will be held responsible for all keys issued to you.

Contractor, Consultants, Vendors and other Non-University personnel

All keys must be returned to Customer Service at the completion of the project. Written confirmation from Customer Service is required before final payment is made by the Project Manager.

Lost, Stolen, Un-returned, and Broken Keys

Keys Not Returned

- It is the responsibility of the authorizing entity to make every effort to secure keys from personnel terminating employment or students leaving school. If efforts fail to obtain the keys they should be considered lost.

Lost Keys

- Lost keys must immediately be reported to the department coordinator or Customer Service (71).
- A new key request procedure must be initiated for replacement keys.
- A Lock and Key Request form must be submitted to the Customer Service department for the lock change and replacement keys. Each department is responsible for the total cost of lock changes and new keys to secure areas compromised by lost keys.

Stolen Keys

- Stolen keys must be immediately reported to the appropriate department coordinator and Customer Service (71).
- Customer Service will contact the University Police. A detailed police report must be filed by the department detailing the circumstances of the theft.
- A new key request procedure must be initiated for replacement keys.
- A Lock and Key Request form must be submitted to the Customer Service department for the lock change and replacement keys. Each department is responsible for the total cost of lock changes and new keys to secure areas compromised by stolen keys.

Broken or Damaged Keys

- If a key is broken or otherwise damaged the pieces must be returned to the department coordinator or Customer Service. If a key is broken off in a lock or is malfunctioning, notify immediately Customer Service to create a work order.
- A new key will be issued after damage verification. There is no charge for the replacement.

Inventory

- Customer Service will routinely review and check on outstanding temporary key issues. Customer Service will verify key status.
- A Master Key Inventory memo will be sent biannually to each college, department, and Project Manager requesting a list of the "master" keys issued for each department.

Electronic Access System

An alternate access on campus can be a card or code systems with a “key override” capability on the University Facilities keying system to all access to building and interior areas by University Police, Environmental Health and Safety, and University Facilities maintenance personnel. The department/college shall be responsible for the cost of operations and maintenance..

Contact Information

University Facilities
120 John Beane Center
645-2028
custserv@buffalo.edu

Related Information

Other Documents:

Lock/Key Request Form

<http://www.facilities-buffalo.org/Home/Forms/FacilitiesOperations/LockChangeandKeyRequestForm>